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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Richard Ericson

Serial No.: 09/162,821

Filed: September 29, 1998

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Assistant Commissioner of Patents & Trademarks

Title: Elevator System Having Drive Motor Located Below

Washington, DC 20231

The Elevator Car

Sir:

NIE 0 4 1999 EX

Date: August 2, 1999

Examiner: Unknown

Art Unit: 3615

Docket No.: OT-4331 (4167-11)

I hereby certify that this carrespondence is being deposited with the Uhilled States Pecks Service as first class sull in an annulum addressed to Commissioner of Palacks and Itademarks, Weshington, B.C., 20031, on

Elizabethe

August 2, 1999

THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

The following background art is provided under 37 C.F.R. 1.97 and a copy is included in compliance with 37 C.F.R. 1.98. A list of the articles being submitted herewith is set forth on the enclosed Form PTO-1449.

- [X] 37 C.F.R. 1.97(e) Certification each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) no more that three months prior to the filing of the statement.
- [] Best available copies of information listed are enclosed.
- [X] A copy of the PCT Patent Search is enclosed.

Please charge any associated fees to Deposit Account No. 15-0750, Order No. OT-4331 (a duplicate copy hereof is enclosed).

Otis Elevator Company Ten Farm Springs Farmington, CT 06032 (860) 676-5742 Respectfully submitted,

Randy G. Henley

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